

Third Bidder

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
DBE - COMMITMENT
 OCR-0006 (REV 11/2020)

09-10-24P12:13 RCVD

CONTRACT NO. 11-430384		
BID AMOUNT \$37,209,933		
BID OPENING DATE 9/5/2024		
BIDDER'S NAME Griffith Company		
DBE GOAL FROM CONTRACT % 21.00%		
DBE PRIME CONTRACTOR CERTIFICATION ¹ N/A	TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE) 16	TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE) \$23,971,112.90

BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED ²	NAICS AND/OR WORK CATEGORY CODES ³	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors.)	AMOUNT (\$)
101 (P)	Cold plane AC pavement (grind/sweep)	238910	Cindy Trump Inc PO Box 385 La Habra, CA 90631 DBE#40089 562-697-2286	\$366,960.00
1, 9, 10, 13, 32, 143, 145, 173-184, 186-195, 205 (P)	Lead compliance plan, striping, mobilization (partial)	237310 WCC: C8201, C8405, C8406, C8501	Payco Specialties 129 N 2nd Ave Chula Vista, CA 91910 DBE#102 619-422-9204	\$3,133,205.59
6 (P)	Provide traffic control crew for lane closures	238990 WCC: C8201, C8405, C8406, C8501	Maneri Traffic Control 4949 2nd St Fallbrook, CA 92028 DBE#38078 951-695-5105	\$1,888,710.00
36, 40	Clear and Grub, Roadside Clearing	238910, WCC C1601	CGO Construction Company, Inc 3100 E Cedar St Ontario, CA 91761 DBE#4483 909-930-5501	\$235,600.00
168-170 (P)	Furnish and install rebar for concrete barriers and concrete barrier transitions	238120	DRS Rebar Inc 1255 Kelley Ave Corona, CA 92882 DBE#43947 909-930-5501	\$32,600.18
91 (P)	Furnish and deliver asphalt oil	424720, 484220 WCC: C0624, C9608, C9774	Roberts Oil Corporation 3501 Fruitvale Ave Bakersfield, CA 93308 DBE#45925 559-321-8683	\$440,000.00

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).

Failure to submit a signed DBE Confirmation form and submit copies of the DBE quotes will result in disallowance of the DBE's participation.

¹Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

²If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

³Use NAICS and/or Work Category Codes from the California Unified Certification Program database.

* NAICS: North American Industry Classification System.

Total Claimed Participation	see next page
	see next page

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.33).


Signature of Bidder

9/9/24
Date

562-332-9091
(Area Code) Tel. No.

BRAD OLSON AREA MANAGER
Person to Contact (Please Type or Print)

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DBE - COMMITMENT

OCR-0006 (REV 11/2020)

CONTRACT NO.
11-430384

BID AMOUNT
\$37,209,933

BID OPENING DATE
9/5/2024

BIDDER'S NAME
Griffith Company

DBE GOAL FROM CONTRACT %
21.00%

DBE PRIME CONTRACTOR CERTIFICATION ¹ N/A	TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE) 16	TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE) \$23,971,112.90
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BID ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED ²	NAICS AND/OR WORK CATEGORY CODES ³	NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors.)	AMOUNT (\$)
168-170 (P)	F/P/S concrete barriers and concrete barrier transitions	237310	Dees Burke Engineering Constructors 207 W State St Ontario, CA 91762 DBE#43430 626-228-3670	\$245,253.00
103,205 (P)	Provide quality control plan and daily staff	541380	MB Pro 1227 W Brooks St, Unit J Ontario, CA 91762 DBE#38637 909-988-2795 Ext 821	\$116,022.00
50,52-82,205 (P)	Landscaping, mobilization (partial)	237110,237310,237990,238910,484220	Diversified Landscape Co. 21730 Bundy Canyon Rd Wildomar, CA 92595 DBE#8022 951-245-1686	\$1,455,831.31

Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).

Failure to submit a signed DBE Confirmation form and submit copies of the DBE quotes will result in disallowance of the DBE's participation.

¹Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

²If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

³Use NAICS and/or Work Category Codes from the California Unified Certification Program database.

* NAICS: North American Industry Classification System.

Total Claimed Participation	\$ 7,914,182.08
	21.27 %

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.33).


Signature of Bidder

9/9/24
Date

562-332-9071
(Area Code) Tel. No.


BRAD OLSON AREA MANAGER
Person to Contact (Please Type or Print)

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STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
DBE CONFIRMATION
 OCR-0007 (REV 11/2020)

CONTRACT NO. **11-430384**

NAME OF DBE BUSINESS **MB Pro**

NAME OF DBE REPRESENTATIVE
Brian Platt

DBE CERTIFICATION NUMBER **38637**

NAME OF BIDDER
Griffith Company

NAME OF PRIME CONTRACTOR IF DIFFERENT FROM THE BIDDER

NAME OF REPRESENTATIVE OF BIDDER OR CONTRACTOR
Brad Olson, Area Manager

DATE **09/06/24**

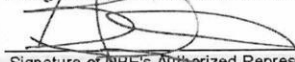
Bid item number	Item of work and description of services to be subcontracted or materials to be provided ¹	Amount (\$)
86	Quality Control (P)	
90	Quality Control (P)	
91	Quality Control (P)	
205 (P)	Quality Control Mob (P)	

¹ If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

Total **\$116,022.00**

As an authorized representative of a certified disadvantaged business enterprise, I confirm that my business was contacted by the bidder or prime contractor shown above regarding the contract shown above. If the bidder is awarded the contract, my business will enter into a contractual agreement with the bidder or prime contractor to perform the type and dollar amount of work shown on the DBE Commitment form.

I certify under penalty of perjury that the foregoing is true and correct.


 Signature of DBE's Authorized Representative

Brian Platt
 Printed Name of DBE's Authorized Representative

Chief Operating Officer
 Title of DBE's Authorized Representative

September 9, 2024
 Date

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1. List items of work the Bidder made available to Disadvantaged Business Enterprise (DBE) firms. Identify items of work the Bidder might otherwise perform with its own forces, items that have been broken down into economically feasible units to facilitate DBE participation, and items for which the Bidder has established flexible time frames for performance and delivery schedules in a manner that encourages and facilitates DBE participation. For each item listed, show the dollar value and percentage of the total contract. The Bidder must demonstrate that sufficient work to meet the goal was made available to DBE firms.

Item of Work Offered, Services Offered, or Materials Supplied	Bidder Normally Performs Item Yes/No	Item Broken Down to Facilitate Participation Yes/No	Established Flexible Timeframes for Performance and Delivery Schedules Yes/No	Amount (\$)	Percentage of Total Bid
**Please see attached Part 1 for supportive documents.	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
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	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		
	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO	<input type="checkbox"/> YES <input type="checkbox"/> NO		

2. List the names of certified DBEs and all the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial and follow-up solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations, e-mail messages, telephone records, fax confirmations, etc.

Name of DBE Solicited	Date of Initial Solicitation	Items of Work Offered	Follow Up Methods and Dates
**Please see attached Part 2 for all certified DBE's solicited which includes:			
1. Copy of all Solicitations made to outreach Certified DBE Subs.			
2. Caltrans - Advertisement 07/26/2024			
3. Affidavit & Log for all below.			
4. Query			
5. Fax Confirmations 07/26/2024 (included 1 Copy of Invitation to Bid that was sent out via Fax)			
6. Initial Phone Calls Made 08/08/2024			
7. Initial Emails sent out on 07/26/2024			
8. Emails sent out for Addendum 1 on 08/14/2024			
9. Follow Up Emails sent out on 08/15/2024			

3. For each item of work made available, indicate whether the Bidder provided plans and specifications specific to the items of work being offered, list the selected firm and its status as a DBE, the DBEs that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE. Provide copies of each DBE and Non-DBE quote submitted to the Bidder whenever a Non-DBE firm was selected over a DBE for work on the Contract.

Items of Work	Provided Plans/ Specifications for Work Offered Yes/No	Name of Selected Firm	DBE or Non-DBE	Name of Rejected Firm	Quote (\$)	Price Difference (\$)
	<input type="checkbox"/> YES <input type="checkbox"/> NO	**Please see Part 3 for supporting documents.				
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					
	<input type="checkbox"/> YES <input type="checkbox"/> NO					

If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above. Provide evidence as to why additional agreements could not be reached for DBEs to perform work.

4. Describe the Bidder's outreach efforts to identify and solicit the interest of all certified DBEs that have the capability to perform the work of the Contract. Provide copies of supporting documents.

Description of Outreach	Dates	Location (if applicable)	Results
**Please see Part 3 for supporting documents.			
1. CE Focus - Focus Paper (07/26/2024 -09/04/2024)			
2. CE Trade - Trade Paper (07/26/2024 -09/04/2024)			
3. Caltrans Website - 07/26/2024			

5. Describe the Bidder's efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the Contract to assist them in responding to a solicitation. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.

In all advertisements made for the project Griffith Company includes directions on how to obtain plans & specs. All DBE subs received Addendum with link to changes.

****Please see attached Part 2 for supporting documents.**

6. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.

In all advertisements made for this project Griffith Company offers assistance for binding, lines of credit & insurance.

For this project No DBE's Requested assistance.

7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

In all advertisements made for this project Griffith Company offers assistance to all necessary equipment, supplies, material or anything related to this project.

For this project no DBE's requested assistance.

8. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

Griffith Company emailed out project information to multiple agencies with completed scope of work & project information. This process resulted with no feedback from any organization.

****Please see attached Part 4 for supporting documents.**

9. Include additional data to support a demonstration of good faith efforts.

Griffith Company did all means to advertise and get close to the goal as possible. Please see attached Query for all NAICs codes searched.

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

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ORIGIN ID:JDYA (562) 929-1128
TRACEY NOVAK
GRIFFITH COMPANY
12200 BLOOMFIELD AVE
SANTA FE SPRINGS, CA 90670
UNITED STATES US

SHIP DATE: 08SEP24
ACTWGT: 13.00 LB
CAD: 1035925511NET14535
BILL SENDER

TO **DEPT OF TRANSPORTATION**
CALTRANS, OFFICE ENGINEER
1727 30TH STREET
MS, 43

SACRAMENTO CA 95816
REF: 7100220 - DBE GFE - D20
INV: (916) 227-6299
PO: DEPT.

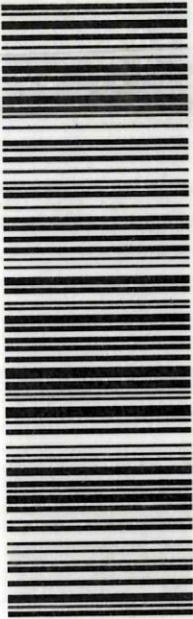
583J2/4EF9/9AE3



J243024071301uv

TRK# 7784 7517 4570
TUE - 10 SEP 10:30A
PRIORITY OVERNIGHT

WD BLUA
CA - US SMF 95816



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